



# ASSOCIATION OF MUTUAL FUNDS IN INDIA

One Indiabulls centre, Tower 2, Wing B, 701, 7th Floor, 841, Senapati Bapat Marg,  
Elphinstone Road, Mumbai – 400013

## APPLICATION FORM FOR RENEWAL OF ARN/ EUIN

**(FOR INDIVIDUAL ARN HOLDERS / NEW CADRE OF DISTRIBUTORS & EMPLOYEE ONLY)**

### PART – A

ARN /EUIN :

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1.6x1.8cm  
W X H  
Two color  
photograph

DATE OF VALIDITY OF ARN/EUIN :

D	D	M	M	Y	Y	Y	Y
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FIRST NAME :

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MIDDLE NAME :

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LAST NAME :

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DATE OF BIRTH :

D	D	M	M	Y	Y	Y	Y
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SEX :

F		M	
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PAN :

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**TEST/ CPE DETAILS (NISM-Series-V-A: Mutual Fund Distributors Certification Examination /NISM Series-V-A: Mutual Fund Distributors Continuing Professional Education). (To be filled in by Individual ARN Holders & Employees)**

NISM PASSING/ CPE CERTIFICATE NUMBER :

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DATE OF PASSING TEST/ ATTENDING CPE :

D	D	M	M	Y	Y	Y	Y
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**PART – B** (Additional information to be filled in by Employees)

**ARN UNDER WHICH REGISTERED AS EMPLOYEE:**

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**NAME OF THE ARN HOLDER UNDER WHICH REGISTERED AS EMPLOYEE:**


**OFFICE ADDRESS :**


**UNDERTAKING**

**(To be signed by Individual ARN Holders & Employees)**

I, hereby apply for renewal of ARN/ EUIN with Association of Mutual Funds in India (AMFI), which has been allotted to me by AMFI solely for the purpose to enable me to canvass sale of products of Mutual Funds.

I hereby confirm that I am canvassing business for selling products of Mutual Funds in accordance with the Code of Conduct and Guidelines prescribed by SEBI and AMFI and shall continue to follow the same including any Rules and Regulations that may be framed or amended by SEBI and AMFI from time to time.

I undertake that any breach of Guidelines and Code of Conduct or any Rules and Regulations framed by SEBI and AMFI will render my registration liable to be cancelled.

**DATE:** \_\_\_\_\_

**PLACE:** \_\_\_\_\_

**(SIGNATURE OF THE APPLICANT)**

**\* ATTESTED BY**

**NAME OF THE AUTHORISED SIGNATORY:** \_\_\_\_\_

**DESIGNATION:** \_\_\_\_\_

**SIGNATURE WITH CORPORATE STAMP**

**\* NOTE: Signature of the applicant, who is corporate employee, is to be attested by authorized signatory of the corporate and corporate stamp to be affixed against the same.**

**UNDERTAKING**

**(To be signed by New Cadre of Distributors)**

I, hereby apply for renewal of ARN/ EUIN with Association of Mutual Funds in India (AMFI), which has been allotted to me by AMFI solely for the purpose to enable me to canvass sale of products of **simple and performing Mutual Fund schemes, as defined in SEBI Circular no. CIR/IMD/DF/21/2012 dated September 13, 2012.**

I hereby confirm that I am canvassing business for selling products of Mutual Funds in accordance with the Code of Conduct and Guidelines prescribed by SEBI and AMFI and shall continue to follow the same including any Rules and Regulations that may be framed or amended by SEBI and AMFI from time to time.

I undertake that any breach of Guidelines and Code of Conduct or any Rules and Regulations framed by SEBI and AMFI will render my registration liable to be cancelled.

**DATE:** \_\_\_\_\_

**PLACE:** \_\_\_\_\_

**(SIGNATURE OF THE APPLICANT)**

## INSTRUCTIONS

- Form should be completed in all respects. None of the column should be left blank. Incomplete form shall be liable for rejection.
- All individual ARN holders are required to complete KYD process. For more details visit [www.amfiindia.com](http://www.amfiindia.com).
- **Individual ARN/ EUIN holders are required to attend NISM Series-V-A: Mutual Fund Distributors Continuing Professional Education (CPE) / pass 'NISM Series V-A : Mutual Fund Distributors Certification Examination' offered by National Institute of Securities Market (NISM).**
- **New Cadre of Distributors are required to attend NISM Series V-B: Mutual Fund Foundation Continuing Professional Education Program (CPE) / pass NISM-Series-V-B: Mutual Fund Foundation Certification Examination offered by NISM.**
- **A copy of CPE certificate/ valid NISM passing certificate is required to be submitted along with application form for renewal of ARN/ EUIN.**

**ARN/ EUIN holder can also submit a self attested copy of mark-sheet along with the renewal application form, provided the mark-sheet contains candidate's photo and PAN. Mark-sheet is accepted only up to two months from date of exam and thereafter one has to submit a self attested copy of passing certificate.**

For more details on CPE/ certification examination, please log on to [www.nism.ac.in](http://www.nism.ac.in)

- The prescribed fees is to be paid only by a Demand Draft (DD) in favor of 'ASSOCIATION OF MUTUAL FUNDS IN INDIA' payable at the place of CAMS center at which form is submitted.
- List of CAMS centers is available on AMFI Website [www.amfiindia.com](http://www.amfiindia.com). You may submit the form at center convenient to you.
- Two-stamp size identical color photographs should be sent along with the form.
- Please send your application well in advance before due date for renewal.

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### ACKNOWLEDGEMENT

Received Renewal Form from Mr./Ms. \_\_\_\_\_  
along with a Demand Draft No. \_\_\_\_\_ dated \_\_\_\_\_ for Rs. \_\_\_\_\_  
(Rs. \_\_\_\_\_) being Fees for  
Renewal of ARN with AMFI.

(SIGNATURE OF THE RECEIVER)